

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): ELLIS, Frampton E.

Title GLOBAL NETWORK COMPUTERS

(Our Deposit Account No. 03-3975)

Our Order No.	81498	251017
	C#	M#
Atty. Dkt.:	PM 251017	GNC7PCT/US
	M#	Client Ref

including:

Date: May 27, 1999

1. Specification: 54 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 51 numbered claims
4. ☒ Drawings: 6 sheet(s) ☒ informal; ☐ formal of size: ☐ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# _____ SN _____)
6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part
☐ Divisional ☒ Continuation ☐ Substitute Application (MPEP 201.09) of:
- 6(a) ☐ National Appln. No. _____ / _____ filed _____ (M# _____)
- 6(b) ☒ International Appln. No. PCT/US97/21812 filed November 28, 1997
which was a CIP of 08/980,058 filed November 26, 1997 . - -
7. ☒ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S.
Provisional Application No. 60/ 031,855 , filed November 29, 1996;
60/032,207 filed December 2, 1996; 60/033,871 filed December 20, 1996; 60/066,313 filed November 21, 1997; and
60/066,415 filed November 24, 1997. - -
8. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to _____

by Assignment recorded _____ Reel _____ Frame _____

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

11. _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	

12. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____

13. ☒ Attached: 1 (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/031,855	29 NOV 1996	(4) 60/032,207	02 DEC 1996
(2) 60/033,871	20 DEC 1996	(5) 60/066,313	21 NOV 1997
(3) 60/066,415	24 NOV 1997	(6) 08/980,058	26 NOV 1997
		(7) PCT/US97/21812	28 NOV 1997

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☒ Attached: Front Page of WO 98/26366, ISR, Request for Corrected Version of Priority Data, Petition Under 37 CFR 1,182, USPTO Decision on Petition and Form PCT/IB/306 (Notification of the Recording of a Change).

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$760/\$380	\$380	101/201
19. Total Effective Claims	51	minus 20 =	*31	x \$18/\$9 =	+ 279	103/203
20. Independent Claims	4	minus 3 =	*1	x \$78/\$39 =	+ 39	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$260/\$130	+ 0	104/204
22.	TOTAL FILING FEE ENCLOSED =				\$698	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 6 is X'd, add recording fee				+ \$40	+ 0	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26.	TOTAL FEE ENCLOSED =				\$698	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP
Intellectual Property Group**

1100 New York Avenue, N.W.
Ninth Floor, East Tower
Washington, D.C. 20005-3918
Tel: (202) 861-3000
RCI/sdm

By Atty: Richard C. Irving

Reg. No. 38499

Sig:



Fax: (202) 822-0944
Tel: (202) 861-3788

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

ATTN.: PCT LEGAL OFFICE, WIPO

IN THE PCT/US RECEIVING OFFICE
UNDER THE PATENT COOPERATION TREATY



In re PATENT APPLICATION of

Inventor(s): ELLIS

Appln. No.: PCT/US97/21812

Filed: November 28, 1997

Title: GLOBAL NETWORK COMPUTERS

Date: February 4, 1999

REQUEST FOR CORRECTED VERSION OF PRIORITY DATA

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

On January 16, 1998, the U.S. Receiving Office mailed to Applicant a communication requesting that the Applicant provide application numbers identifying priority applications. Applicant was given one month in which to respond.

On February 13, 1998, Applicant faxed a copy of Form PCT/RO/101 to Virginia Irby at RO/US IAPD - PCT Operations, with the requested application numbers handwritten on the Form PCT/RO/101, a copy of which is attached. As can be seen on the enclosed Form PCT/RO/101, Applicant added the application numbers to the bottom portion of the Form PCT/RO/101. Applicant also should have added the very same application numbers, a second time, to the items numbered 4, 5 and 6 in the supplemental box, but neglected to do so.

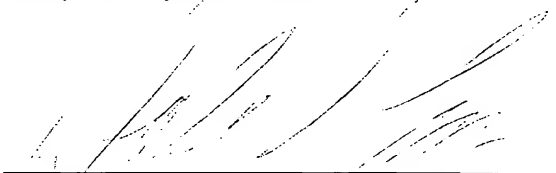
Applicant submits that the three application numbers, which were handwritten into the supplemental box, also apply to items 4, 5 and 6, respectively, of the supplemental box.

Furthermore, Applicant submits that the PCT Receiving Office understood that items 4, 5 and 6 referred to Box No. VI and not Box No. V, as erroneously entered on Form PCT/RO/101. Applicant is submitting a copy of Form PCT/RO/101 obtained from the U.S. Receiving Office showing that the U.S. Receiving Office altered the "V" to a "VI".

In addition, the corrected version of the cover page of the published application lists the items 4, 5 and 6 under the Priority Data heading, with the words "Not furnished" where the application numbers should appear. A copy of the corrected version of the cover page of the published application is enclosed.

Applicant requests an acknowledgement of the above-mentioned priority information and a new corrected version of the cover page of the published application with the corrected priority information.

Respectfully submitted,



Dale S. Lazar, Reg. No. 28,872
Attorney for Applicant

Dated: February 4, 1999

DSL/RCI:vlj

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

To:

FRAMPTON E. ELLIS, III
2895 SOUTH ABINGDON STREET
SUITE B2
ARLINGTON VA 22206-1331

COMMUNICATION IN CASES FOR WHICH
NO OTHER FORM IS APPLICABLE

Applicant's or agent's file reference GNC7PCT	Date of mailing (day/month/year) 16 JAN 1998
International application No. PCT/US97/21812	International filing date (day/month/year) 28 NOV 97
Applicant ELLIS, FRAMPTON E., III	

1. ☒ **REPLY DUE** within **ONE MONTH** from the above date of mailing

☐ **NO REPLY DUE**, however, see below _____

☐ **IMPORTANT COMMUNICATION**

☐ **INFORMATION ONLY**

2. **COMMUNICATION:**

28 NOV 1997

The international application papers filed _____ assert priority under PCT Article 8 and Rule 4.10 with a request that a copy of the priority application(s) be prepared and forwarded to the International Bureau. The request cannot be granted without the application number identifying the priority application(s).

The application number, if furnished by expiration of the 16th month (Rule 4.10) will be considered to have been furnished in time.

Name and mailing address of the receiving Office Assistant Commissioner for Patents Box PCT Washington, D.C. 20231 Facsimile No.	Authorized officer Telephone No.	Virginia Irby <i>Virginia Irby</i> Paralegal Specialist IAPD - PCT Operations (703) 305-3748
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Attn: RO/US

Supplemental Box If the Supplemental Box is not used, the sheet need not be included in the request.

Use this box in the following cases:

1. If, in any of the Boxes, the space is insufficient to furnish all the information:

in particular:

- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available:
- (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked:
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America:
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents:
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "Continuation" or "Continuation-in-part":
- (vi) if there are more than three earlier applications whose priority is claimed:

In such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient;

In such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below;

In such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;

In such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;

In such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;

In such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;

In such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.

2. If the applicant claims, in respect of any designated Office, the benefit of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty:

In such case, write "Statement Concerning Non-Prejudicial Disclosures or Exceptions to Lack of Novelty" and furnish that statement below.

Continuation of Box No. V_f

item(4) US, filed 21 November 1997, Application No.: To be
(21.11.97) Assigned

item (5) US, filed 24 November 1997, Application No.: To be
(24.11.97) Assigned

item (6) US, filed 26 November 1997, Application No.: To be
(26.11.97) Assigned

▲ Continuation of Box No. V

US 29 November 1996 60/031,855
(29.11.96)

US 02 December 1996 60/032,207
(02.12.96)

US 20 December 1996 60/033,871
(20.12.96)

US 21 November 1997 ~~To be Assigned~~ 60/066313
(21.11.97)

US 24 November 1997 ~~To be Assigned~~ 60/066415
(24.11.97)

US 26 November 1997 ~~To be Assigned~~ 08/980058
(26.11.97)

Supplemental Box

If the Supplemental Box is not used, this sheet need not be included in the request.

Use this box in the following cases:

1. If, in any of the Boxes, the space is insufficient to furnish all the information:

in particular:

- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available:
- (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked:
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America:
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents:
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "Continuation" or "Continuation-in-part":
- (vi) if there are more than three earlier applications whose priority is claimed:

in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient;

in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below;

in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;

in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;

in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;

in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;

in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.

2. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty:

In such case, write "Statement Concerning Non-Prejudicial Disclosures or Exceptions to Lack of Novelty" and furnish that statement below.

Continuation of Box No. V:

- item(4) US, filed 21 November 1997, Application No.: To be Assigned
(21.11.97)
- item (5) US, filed 24 November 1997, Application No.: To be Assigned
(24.11.97)
- item (6) US, filed 26 November 1997, Application No.: To be Assigned
(26.11.97)

▲ Continuation of Box No. V

- US 29 November 1996 60/031,855
(29.11.96)
- US 02 December 1996 60/032,207
(02.12.96)
- US 20 December 1996 60/033,871
(20.12.96)
- US 21 November 1997 ~~To be Assigned~~ 60/066313
(21.11.97)
- US 24 November 1997 ~~To be Assigned~~ 60/066415
(24.11.97)
- US 26 November 1997 ~~To be Assigned~~ 08/980058
(26.11.97)